

## **TIMOTHY (JUN) LU**

### **OFFICE CONTACT INFORMATION**

Peking University HSBC Business School  
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### **ACADEMIC POSITIONS**

Assistant Professor of Finance, Peking University, HSBC Business School, 2011 – present.

### **EDUCATION**

Ph.D. in Applied Economics, The Wharton School, University of Pennsylvania, May 2011.  
M.A., Applied Economics, The Wharton School, University of Pennsylvania, May 2009.  
B.A., Actuarial Science (with honors), York University, Canada, June 2006.  
B.S., Computer Science, Fudan University, P.R. China, July 1999.

### **RESEARCH FIELDS**

Investment Behavior, Financial Planning, Information Diffusion, Financial Markets and Institutions, Risk Management.

### **WORKING PAPERS**

“Social Interaction Effects and Individual Portfolio Choice: Evidence from 401(k) Pension Plan Investors,” 2011.

- Best Doctoral Student Paper, Academy of Behavioral Finance and Economics Conference, 2011.

“Borrowing from Yourself: The Determinants of 401(k) Loan Patterns,” 2010, with Olivia S. Mitchell.

“An Empirical Analysis of 401(k) Loan Defaults,” 2010, with Olivia S. Mitchell and Steve P. Utkus.

### **TEACHING**

Financial Risk Management, Peking University HSBC Business School, Spring 2012.

Behavioral Finance, Peking University HSBC Business School, Fall 2011.

Teaching Assistant, Insurance and Risk Management (Penn undergrad. course INSR205), Spring 2010.

### **HONORS**

Peking University HSBC Business School Financial Research Center Grant, 2012 – 2014.

Peking University HSBC Business School Research Grant, 2011 – 2013.

The Wharton Entrepreneurship and Family Business Research Grant, 2010 – 2011.

Financial Literacy Center Research Award, 2009 – 2010.

Michigan Retirement Research Center Award, 2009 – 2010.

TRIO Pilot Research Grant, 2008 – 2009.

Bradley Foundation Fellowship, 2007 – 2008, 2010 – 2011.  
University of Pennsylvania Doctoral Fellowship, 2006 – 2011.  
NSERC Undergraduate Summer Research Grant, Canada, 2005.  
Continuous Student Scholarship, York University, Canada, 2004 – 2006.

#### **PROFESSIONAL PRESENTATIONS**

Peking University HSBC Business School, November 2011.  
Academy of Behavioral Finance & Economics Meetings, Los Angeles, September 2011.  
Northern Finance Association Meetings, Vancouver, September 2011.  
Netspar International Pension Workshop, June 2011.  
The Wharton Research Data Services, January 2011.  
Lake Forest College, January 2011.  
The Analysis Group, January 2011.  
The Wharton School, University of Pennsylvania, November 2010 and December 2008.  
Michigan Retirement Research Center, University of Michigan, April 2010.  
Annual Colloquium of Superannuation Research, University of New South Wales, July 2009.

#### **PROFESSIONAL SERVICES**

Discussant, Financial Management Association Conference, October 2012.

#### **WORKING EXPERIENCES**

Research assistant for Professor Olivia S. Mitchell, 2007 – 2011.  
Programmer Analyst, Accton (China) Co., Ltd., 2002.  
Software Application Consultant, Stockstar.com, 1999 – 2001.  
Software Engineer, Shanghai Bell-Alcatel Co., Ltd., 1999.

#### **PROFESSIONAL AFFILIATIONS**

Completed Exams P, FM, & M, Society of Actuaries.  
Member of American Finance Association, Financial Management Association.

#### **REFERENCES**

**Professor Olivia S. Mitchell (Chair)**  
Department of Insurance & Risk Management  
The Wharton School  
University of Pennsylvania  
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**Professor Itay Goldstein**  
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