

# **Peking University HSBC Business School**

# **Graduate Student Handbook**

Peking University HSBC Business School August 30th, 2013

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### 1. Master of Economics Program at HSBC Business School

Peking University HSBC Business School, one of the newest and most promising business schools in China, is proud of its accomplishments in the area of graduate education. The Master of Economics program focuses on a core curriculum in economic theory and applications. This is a two year, full-time program in which international students learn alongside Chinese peers in an environment of cross-cultural dialogue. All courses are instructed exclusively in English, as is all academic support provided by faculty, TAs, and staff members. The faculty members of this program have earned their PhD degrees from top-ranked universities over the world.

#### I. Program Objectives

This program aims to educate a group of graduate students by equipping them with a solid theoretical foundation in economics, teaching them to carry out research and analysis independently, and instructing them on the most pressing economic issues of today. The program also enables select students to advance to the Doctorate of Economics at HSBC Business School.

#### **II. Degree Requirements**

To obtain the Master of Economics degree from Peking University HSBC School of Business, students are required to fulfill 36 credits (including 4 required courses, 6 major elective courses and 2 general elective courses), complete 2 required courses that do not count credits (Mathematics and Graduate Thesis Seminars) and complete a Master's thesis and thesis defense.

Students will earn the credits only if they successfully pass course examinations. For required courses and elective courses, students need to pass by earning a score of 70 (D) or above. Those who fail in the required course will retake the course. Those who have failed in the required courses twice will not receive their master's degree.

This is two year postgraduate program. Those who have difficulty completing the required coursework can apply to extend their studies by half a year to one year at the beginning of the second academic year. The tuition cost of the extended period will be significantly lower than traditional tuition fees, but will be covered entirely by the student himself/herself.

#### III. Research Fields

Concentrations: Microeconomics, Macroeconomics, Mathematical Economics, Econometrics, Financial Economics, International Trade, Development Economics, Institutional Economics, Industrial Organization, Institutional Economics, Public Economics, Human Resource Economics.

Advisors: Wen Hai, Haifeng Huang, Zhiyong Tu, Yan Feng, Juan Yang, Ying Kong, David Ong, Christopher Balding, Chunyang Wang, Qian Chen, Yu Zhou, Lan Ju, Young Joon Park, Jiao Shi, Daeyong Lee, Insook Lee

#### IV. Curriculum

## **Required Courses**

## a. Four Required Courses

Course	Credits
Advanced Microeconomics (1)	3
Advanced Macroeconomics (1)	3
Applied Econometrics	3
Business Ethics and Research Methodology	2
(Business Ethics:1.5 credits, Research Methodology: 1.5 credits)	J

## b. Two Required Courses (No credits)

Course	Credits
Mathematics	N/A
Graduate Thesis Seminars	N/A

### **Major Elective Courses:**

Course	Credits
Advanced Microeconomics II	3
Financial Accounting	3
Advanced Financial Economics	3
Applied Econometrics II	3
Behavioral Finance	3
Real Estate Finance and Economics	3
Game Theory	3
Industrial Organization	3
International Trade	3
Transition Economics	3
Healthcare Financing and Management	3
Economics of Poverty	3
Behavioral Economics	3
Experimental Economics	3
Public Finance	3
History of Economy Thought	3

International Finance	3
Fixed Income Securities	3
Principles Risk Management and Insurance	3
Political Institutions and Economic Development	3
Financial Markets	3
Advanced Macroeconomics II	3
Market Microstructure	3
An Industrial Organization Approach to Finance	3
Corporate Finance	3
Business Mathematics	3
Intro to Chinese Economy	3
Economics for Public Issues	3
Institution Economics	3
Media Economics	3
Energy Economics	
Human Resource and Labor Economics	
Resource and Environmental Economics	
Decision Theory	3
Money and Banking	3
Taxation and Business Strategy	3
Independent Study in Economics II	3
Others	

<sup>\*</sup>After the first semester, the number of credit hours required for completion of the degree may change after discussion by the curiculum committee.

# 2. Master of Management Program at HSBC Business School Master of Management

The Master of Management program covers core coursework such as management economics, organization behavior. This is a two year, full-time program in which international students learn alongside Chinese peers in an environment of cross-cultural dialogue. All courses are instructed exclusively in English, as is all academic support provided by faculty, TAs, and staff members. The faculty members of this program have earned their PhD degrees from top-ranked universities over the world.

<sup>\*</sup> Please refer to class schedule for elective courses' availability.

#### I. Program Objectives

This program aims to help our students to develop solid theoretical knowledge in management as well as the ability to carry out research in related concentrations. It also aims to prepare students with the cutting edge expertise in corporate management, and ability to provide solutions to practical business issues by applying managerial theories.

#### **II. Degree Requirements**

To obtain a Master of Management degree from Peking University HSBC Business School, students are required to fulfill 36 credits in 12 courses, (including 7 required courses, 3 major elective courses and 2 general elective courses) complete 2 required courses that do not count credits (Mathematics and Graduate Thesis Seminars) and complete a Master's thesis and defense.

Students will earn the credits only if they pass course examinations. For required courses and elective courses, students need to pass by earning a score of 70 (D) or above. Those who fail the exams will retake the course. Those who fail in the required courses will not be conferred their degrees.

This is two year postgraduate program. Those who have difficulty completing the required coursework can apply to extend their studies by half a year to one year. The tuition cost of the extended period will be significantly lower than traditional tuition fees, but will be covered entirely by the student himself/herself.

#### III. Research Fields

Concentrations: Corporate Strategy, Entrepreneurial Management, Marketing, Human Resource Management, Accounting

Advisors: Philip Huang, Wei Wei, Kevin Chastagner, Tianyu Dai, Marc Idelson, Yeujun Yoon, Ting Ren, Terrill Frantz, Austin Lee Nichols, Deming Zhou, Kevin Chastagner

#### IV. Curriculum

#### **Required Courses**

#### a. Seven Required Courses

Course	Credits
Applied Econometrics	3

Marketing Management	3
Organizational Behavior	3
Financial Accounting	3
Strategic Management	3
Operation Management	3
Business Ethics and Research Methodology	
(Business Ethics:1.5 credits, Research Methodology: 1.5	3
credits)	

# b. Two Required Courses (No credits)

Course	Credits
Mathematics	N/A
Graduate Thesis Seminars	N/A

## **Major Elective Courses:**

Course	Credits
Management of Investment Banking	3
SMES Finance Research	3
Industrial Organization	3
Project Management	3
Managing in China Cross-border Development	3
Corporate Finance	
Strategic Human Resource Management	
Managerial Economics	3
Organizational Network Analysis	3
Managing Information Technology	3
Marketing Research	3
Venture Design	3
Consumer Behavior	3
Art of Leadership	3
Marketing Strategy	3
Research of Business Model	3
Enterprisers and Enterprises	3
Supply Chain Management	3
Brand Management	3
New Venture Investment Decision	3
Marketing Application in Financial Industry	3
International Management	3

Entrepreneurship	3
The Science of Leadership	3
Money and Banking	3
Global Innovation	3
Cross-Cultural Management	
Managing Mergers & Acquisitions	3
Strategic Management Research	3
Principles of Risk Management and Insurance	3
Behavioral Finance	3
Introduction to Chinese Economy	3
International Finance	3
Experimental Economics	3
Others	

#### **General Elective Courses:**

- \*After the first semester, the number of credit hours required for completion of the degree may change after discussion by the curiculum committee.
- \* Please refer to class schedule for elective courses' availability.

### 3. Master of Economics in Discipline of Quantitative Finance at

#### **HSBC Business School**

The Quantitative Finance program provides specialist training in finance for international students. The master's degree in economics program focuses on a core curriculum in financial theory and applications. This is a two year, full-time program in which international students learn alongside Chinese peers in an environment of cross-cultural dialogue. All courses are instructed exclusively in English, as is all academic support provided by faculty, TAs, and staff members. The faculty members of this program have earned their PhD degrees from top-ranked universities over the world

#### I. Program Objectives

This program aims to develop in students the modern financial theories and methodologies, and a comprehensive understanding of financial performance and management. The Economics in Quant. Finance program prepares students for a variety of careers, such as chief financial officers, risk management specialists,

corporate fund managers and more. This program also enables the students to pursue PhD degrees or carry out research in related concentrations in finance.

## **II. Degree Requirements**

To obtain a Master of Economics in Quant. Finance degree from Peking University HSBC Business School, students are required to fulfill 36 credits (including 5 required courses, 5 major elective courses and 2 general elective courses), complete 2 required courses that do not count credits (Mathematics and Graduate Thesis Seminars) and complete a Master's thesis.

Students will earn the credits only if they pass course examinations. For required courses and elective courses, students need to pass by earning a score of 70 (D) or above. Those who fail the exams will retake the course. Those who have failed in the required courses twice will not receive their master's degree.

This is two year postgraduate program. Those who have difficulty completing the required coursework can apply to extend their studies by half a year to one year at the beginning of the second academic year. The tuition cost of the extended period will be significantly lower than traditional tuition fees, but will be covered entirely by the student himself/herself.

#### III. Research Fields

*Concentrations:* Financial Engineering, Corporate Finance, Investment, Risk Management, Chinese Financial Markets

Advisors: Vincent Chang, Lei Sun, Heungju Park, Denial Kim, Frank Koger, Ken Hung, Hursit Selcuk Celil, Frank Koger, Naqiong Tong, Wei Cen, Sung Bin Sohn, Erik Benrud, Wei Xu, Jun Lu, Lan Ju, Juan Yang, Zhiyong Tu, Kwang Won Ahn, Tina Wang, Liangyi Ouyang

#### IV. Curriculum

#### **Required Courses**

#### a. Five Required Courses

Course	Credits
Financial Market	3
Financial Economics	3
Applied Econometrics	3
Microeconomics	3
Business Ethics and Research Methodology	
(Business Ethics:1.5 credits, Research Methodology: 1.5	3
credits)	

# b. Two Required Courses (No credits)

Course	Credits
Mathematics	N/A
Graduate Thesis Seminars	N/A

## **Major Elective Courses**

Course	Credits
Derivative Securities	3
Fixed Income Securities	3
Corporate Finance	3
Financial Risk Management	3
Advanced Econometrics (I)	3
Advanced Econometrics (I)	3
International Finance	3
Advanced Financial Economics	3
Investment	3
Principles of Risk Management and Insurance	3
Behavioral Finance	3
Market Microstructure	3
Empirical Finance	3
Financial Markets and Investments in China	3
Money and Banking	3
Real Estate Finance and Economics	3
SMES Finance Research	3
Financial Accounting	3
An Industrial Organization Approach to Finance	3
Business Mathematics	3
Research Topics in Corporate Finance	3
Topics in Alternative Investments	3
Intro to Chinese Economy	3
Financial Statement Analysis	3
Applied Stochastic Process	3
Taxation and Business Strategy	3
Financial Markets	3
Numerical Methods and Analysis	3
Financial Modeling I	3
Credit Derivatives	3
Hedges Funds	3

Managerial Accounting	3
Public Finance	3
Others	

<sup>\*</sup>After the first semester, the number of credit hours required for completion of the degree may change after discussion by the curiculum committee.

<sup>\*</sup> Please refer to class schedule for elective courses' availability.

# 4. Faculty of Peking University HSBC Business School

## **4.1 Full-time Faculty**

NI	Position	Education		
Name		Bachelor	PhD	
		Economics		
Wen Hai	Professor	Peking University	University of California at Davis	
Gang Fan	Professor	Hebei University, China	Graduate School of the Chinese Academy of Social Sciences (CASS)	
Ying Kong	Professor	Peking University	Carleton University, Canada	
Christopher Balding	Associate Professor	George Washington University	University of California at Irvine	
Zhiyong Tu	Associate Professor	Hubei University, China	University of Pittsburgh	
Qian Chen	Assistant Professor	Wuhan University, China	The University of Sydney	
Insook Lee	Assistant Professor	Seoul National University, S. Korea	University of California, Berkeley	
David Ong	Assistant Professor	St. John's College	University of California at Davis	
Young Joon Park	Assistant Professor	Korea University, S. Korea	University of California at San Diego	
Jiao Shi	Assistant Professor	University of Wisconsin – Madison	University of Wisconsin – Madison	
Juan Yang	Assistant Professor	Renmin University of China	Texas A&M University	
Chunyang Wang	Assistant Professor	Peking University	University of Minnesota	

Name	Position	Education	
		Bachelor	PhD
Management (15)			
Haifeng Huang	Professor	Dalian Jiaotong University, China	Humboldt University, German
Philip Y. Huang	Professor	National Taiwan University	Pennsylvania State University
Tianyu Dai	Associate	Wuhan University	Chinese Academy of Social

	Professor		Sciences
Liangyi Ouyang	Associate Professor	Peking University	Hong Kong University
Ting Ren	Associate Professor	Peking University	University of Minnesota
Wei Wei	Associate	Huazhong University of	Huazhong University of Science
WCI WCI	Professor	Science & Technology, China	& Technology, China
Kevin Chastagner	Assistant Professor	Washington State University	Washington State University
W E	Assistant	Shenyang Pharmaceutical	University of Pennsylvania, the
Yan Feng	Professor	University, China	Wharton School
Terrill L. Frantz	Assistant Professor	Drexel University	Carnegie Mellon University
Marc Idelson	Assistant Professor	Ecole Polytechnique, France	HEC Paris School of Management, France
Sai Lan	Assistant Professor	Tongji University	University of Illinois at Urbana-Champaign
Austin Lee Nichols	Assistant Professor	Florida State University	University of Florida
Yeujun Yoon	Assistant	Kyun-Hee University, S	Washington University in St.
	Professor	Korea	Louis
Deming Zhou	Assistant	Tsinghua University	UCLA Anderson School of
	Professor		Management

Name	Position	Education		
name	Position	Bachelor	PhD	
	Finance (16)			
Erik Benrud	Professor	University of North Carolina at Greensboro	University of Virginia	
Ken Hung	Professor	National Taiwan University	University of Maryland	
Vincent Chang	Associate Professor	National Taiwan University	Massachusetts Institute of Technology University of California at Berkeley	
Kwangwon Ahn	Assistant Professor	Seoul National University, S.  Korea	University of Oxford, UK	
Hursit Selcuk Celil	Assistant Professor	Carnegie Mellon University	Texas A&M University	
Wei Cen	Assistant Professor	Xi'an Jiaotong University	Cornell University	
Lan Ju	Assistant Professor	Peking University	University of Wisconsin-Madison	

Daniel Sungyeon	Assistant	Seoul National University, China Indiana Univ	Indiana University
Kim	Professor		indiana University
F 1 H 17	Assistant	I anisiana Stata Ilainansita	Tulane University
Frank H. Koger	Professor	Louisiana State University	
Б. Т	Assistant	Seoul National University, S.	University of Washington
Daeyong Lee	Professor	Korea	
Timothy, I v	Assistant	Endon University	University of Pennsylvania, the
Timothy Lu	Professor	Fudan University	Wharton School
H	Assistant	Korea University, S. Korea	Texas A&M
Heungju Park	Professor		
G D: G 1	Assistant	Seoul National University	University of California
Sung Bin Sohn	Professor		University of California
Lei Sun	Assistant	Peking University	Langastor University, UV
Lei Suii	Professor		Lancaster University, UK
Na siana Tana	Assistant	Fudan University, China	Rutgers-The State University of
Naqiong Tong	Professor		New Jersey
Xiaohang (Tina)	Assistant	Beijing Normal University,	The University of Texas at
Wang	Professor	China	Austin
Wei Xu	Assistant	Sichuan University, China	Purdue University
	Professor		Fuldue Offiversity
Yu Zhou	Assistant	Peking University	Ohio State University,
	Professor		Onio State University,

# 5. General Academic Regulations Governing Students at Peking University HSBC Business School

Students at HSBC Business School (PHBS) shall carefully read the *Student Handbook of Peking University* and strictly abide by the rules and regulations outlined. These rules are subject to change on an annual basis, and students may not observe regulations from previous years if they contradict more recent regulations.

#### 5.1 Registration and Enrollment

Graduate students shall enroll at the appropriate registration date at on the beginning first day of each semester. On registration day, all students must come to the PHBS Administrative Office and provide their signature in order to demonstrate resumption of courses. Students must also complete registration procedures in Building H and the Office of the Registrar by providing the appropriate documents Student ID and paying all fees associated with the coming academic year/semester.

If a student enrolls later than the expressed registration date, they must complete an application for a leave of absence. Failure to apply for approval for an overdue registration or overdue registration for more than two weeks will be considered as surrendering registration automatically.

#### **5.2 Course Registration**

Students must use an online registration system and log on to ss.pkusz.edu.cn to select courses.

Each student's Username is their Student ID Number, while their Password is the last six digits of their passport number.

Course selection and registration begins at 8:00 am the third day prior to every course module, and ends at 5:00 pm the seventh day after courses begin. Beyond this period, course selection is invalid. To drop an already selected course, students should register on the online course system. If students drop course privately, their grades for this course will be marked as "0". , beginning the Monday prior to the resumption of classes at 8:00am and ending on the Friday before classes at 2:00pm. Students must log on to ss.pkusz.edu.cn; If students do not fully complete online

registration for a given course, they will not be registered for any coursework for that module until their schedules are resolved by the Administration Office. Priority will be given to third-year domestic students, second-year international students, and those students who need to fulfill required courses to satisfy program requirements.

After the Add/Drop period, if a student wants to add or drop courses, the student may submit a written application to ask for approval from the vice dean of PHBS in charge of academic affairs before the end of the second week.

In general, students cannot register for more than **three** courses (each for 3 credits or above) in each module, except General English and elective courses with 2 credits. Under special circumstances, a student may submit an application which shall be approved by the vice dean of academic affairs in order to enroll in four courses per module. Under some special circumstances, if a student cannot finish all the required courses (credits) in 3 years, an application of extension shall be submitted to and approved by the vice dean of academic affairs. Among general elective courses, art course could be counted as 1 credit; but the excess art courses are not given credits. Besides, elective course in other fields has no credit.

Different programs have different elective courses. In general, students should select corresponding courses to fulfill elective credits. Students could also choose up to 2 cross-major courses as elective credits. Each course is labeled by the program (Economics, Management or Quant. Finance) and category (required or elective). For instance, a course that is offered through the Economics program and which is a required course is denoted using the abbreviation ER. An Economics elective course is labeled EE. Similar denotations exist for other courses (MR, ME, FR, and FE). The enrollment limits for required and elective courses are not the same.

PHBS will strictly observe the rules and regulations on leave of absence in the *Graduate Student's Handbook of Peking University*.

If a request for a leave of absence is for medical reasons, official documents from the school hospital or a hospital regulated at the county level or above must be submitted. If the leave of absence does not exceed one week, the application only needs to be approved by his or her course instructors. If it is more than one week, the application also needs to be approved by the vice dean of academic affairs. If the cumulative leave of absence is more than one month within one semester, the case shall be reported to Graduate School of Peking University. If the cumulative leave of absence is more than 1/3 of the total weeks of one semester, a compulsory suspension will be enforced.

If a request for a leave of absence is for personal reasons, approval of course instructors is required for no more than one week, students would need the approval of the vice dean of PHBS for up to one month of leave. Students shall not apply for a leave of absence exceeding one month. If students plan on taking a personal leave of more than one month, they are required to apply for academic suspension from the Graduate School of Peking University. This process is handled by Building H in the PKU Shenzhen campus.

Students returning from a leave of absence shall re-register at the Administration Students' Affair Office of PHBS before their leave of absence is due. If students require an extension of their leave of absence, they are required to follow the same procedures as their initial application for leave.

When students participate in internship programs or other off campus activities, they must first obtain approval from their course instructors and the vice dean of PHBS and report their intentions to the Administration Office of PHBS as early as possible. Those students who leave campus without first receiving approval will receive a penalty according to school regulations.

When students attend academic activities abroad or participate in programs organized by the China Scholarship Council or the Exchange Programs of Peking University, they must first fill out the Application Form for Visiting Abroad for Students of Peking University and go through the academic leave and course credit transfer procedures in both the PHBS and PKUSZ offices.

Students should return before their absence of leave is due. Students who go abroad for personal reasons should make arrangements during the winter and summer vacations. If a personal trip abroad is unavoidable, students must first submit a leave of absence form or academic suspension form to the PHBS Administrative Office, depending on the duration of the time abroad.

Failure to apply for approval for a leave of absence will be regarded as truancy for the following circumstances, based on 4 credit hours per day:

- Absence from coursework or research activities without applying for a leave of absence or approval for such application.
- b. Leaving school (including going abroad without going through the appropriate procedures) without first being approved for a leave of absence or academic suspension.
- c. Failure to enroll during the registration period without being approved for a leave of absence.
- d. Failure to re-register in the school administrative system by the end of an approved leave of absence without approval for an extension of leave.

For each course, if the attendance rate is less than 25 hours, students are not allowed to take the final examination and their grade for this course will be marked as a zero (0).

If the cumulative unapproved absence exceeds 10 hours per module semester, the following penalties may be incurred:

- a. 10-19 hours, warning.
- b. 20-29 hours, severe warning.
- c. 30-39 hours, official record for misconduct.
- d. 40 or more hours, disciplinary probation.

These disciplinary measures will be taken into account when reconsidering student scholarship statuses each academic year.

#### 5.4 Course Assessment and Grading System

If a student is absent from a course for more than 30% of the total hours, they are not allowed to take the examinations for this course and their grade for this course will be marked as zero (0).

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If students cannot take the examinations on the scheduled date, they shall fill out the *Application Form for Examination Probation for Graduate Students at Peking University*. If such a request is for medical reasons, the application form shall be submitted together with the documents from the school hospital prior to the examinations with approval from the course instructor and the vice dean of PHBS. In general, the request will not be approved if it is for non-medical reasons, including attendance of such examinations as GRE or TOFEL. If students want to apply for examination probation for a general elective course, such a request needs to be approved by the Shenzhen Graduate School Administrative Office. Students with approved probation will take the examination for the same course when the course is next offered on campus. No separate examinations will be held for students who receive examination probation.

If a student's application for the examination probation is approved, the instructor and the teaching assistant for this course should report the student's available grade of this course to PHBS' Administrative Office. The student's final grade for this course will consist of the grades from the initial module and the final exam grade from the module in which the student is able to take the final exam. If the instructor for this course is changed in the future, the student needs to fully re-register for the course.

If students fail to apply for examination probation or are absent from the examinations, they will receive a final grade of zero (0).

If students fail a required course, they have to re-register for the course as soon as possible. Generally, if students fail an elective course, they can either re-register for the same course in a future module or register for another course with the approval of their advisors. The maximum grade for a re-registered course is 70. Students cannot retake courses they have already taken and passed.

If students are found guilty by the Disciplinary Committee of cheating during examinations, they will receive a final grade of zero (0) for the course in question. Additional penalties will be imposed on students who cheat on examinations in consideration of the seriousness of the circumstances. In the most serious of cases, students will not be granted their degrees.

Plagiarism in papers and degree theses will lead to severe penalties in consideration of the seriousness of the circumstances in accordance with the regulations stated in the *Student Handbook of Peking University*.

#### 5.4 Obtaining an Academic Transcript

An academic transcript application at Peking University can be obtained from the Education Office of the Shenzhen Graduate School by currently enrolled students with a student card. Students must submit this application to Building H Room 103 in order to receive an official transcript from the school's Registrar.

#### 5.5 Selecting a Faculty Advisor

Students select their faculty advisors during the second semester of their first academic year. Before May 30th, each faculty advisor can select 8-10 students as their advisees at most. The specific number of advisees is based on the number of advisees that the faculty member has already guided for that academic year. According to students' willingness, they will be assigned randomly to the faculty members with less than 8-10 advisees by the academic system. Students should approach faculty members in their Department directly. Faculty members have the right to refuse potential thesis advisees, either based on academic differences or if that faculty member already has more than the recommended number of thesis advisees for that academic year. Once students have confirmed a faculty advisor, they must submit a Faculty Advisor Approval Form to PHBS' Administrative Office. Students who do not select an advisor prior to the end of the first year will automatically be assigned to a faculty member with fewer than the recommended number of advisees. When faculty advisors quit, advisees may change their faculty advisors; they

must obtain signed approvals from the old advisor, new advisor and the vice dean of PHBS in charge of academic affairs in order to select a new one. Application for changing advisors should be finished before October in the third academic year. Application exceeding this time limit is invalid.

#### 5.6 Early Graduation and Withdrawal

Students are allowed to graduate before the standard graduation period (for international students, this is two years). In order to have the ability to graduate early, students are required to have (1) fulfilled all credits through the appropriate required and elective coursework; (2) achieved a distinctive academic record through their coursework; (3) completed the first draft of their graduation thesis; (4) completed an internship. Students should prepare their application materials according to the regulations detailed in the *Peking University Graduate School Handbook* and apply for approval from PHBS one full semester before their intended early graduation date. Tuition payment will not be reimbursed for early graduation. Students in PHBS-CUHK dual graduate programs are not allowed to quit from either CUHK program or PKU program.

#### 5.7 Successive Master's and Doctor's Degree Program

Students may apply for successive Master's Degree and PhD Programs in the second academic year (September). Two application forms for the Master's Degree and PhD in Economics Program of Peking University should be signed by the student's faculty advisor and submitted with supporting materials detailing the student's research achievements to the Office of Administrative Services of PHBS. Application forms can be obtained from the PHBS Administrative Office http://grs.pku.edu.cn/py/content/db\_s09.doc.

Students applying for successive Master's Degree and PhD Programs are required to fulfill all credits through the appropriate required coursework and achieve a final grade of 80 85 or above for all required courses. Students shall first obtain approvals from the PhD program supervisors before beginning the application process. Students eligible to apply are required to undergo a qualification test held by PHBS. Students who have passed the qualification test and who meet all other requirements have obtained approvals from PHBS dean's office are entitled to participate in

this successive PhD program and will be officially admitted to the PhD in Economics program the following September. For special circumstances, please contact PHBS' Administrative Office.

#### **5.8 Teaching Assistant**

Senior students may apply to be teaching assistants. For further details, please refer to the Teaching Assistant Regulations at Peking University HSBC Business School, which can be found on the school website under 'Faculty Resources'.

#### 5.9 Graduation Thesis

Students must complete and submit their thesis proposals by the end of second academic year (domestic students) or the end of the first academic year (international students). The supervisor or a supervisor group is to arrange for the date, venue, and procedure for submitting the thesis proposals. Detailed proposals should be submitted to supervisors, who will then file reports to the school. As detailed proposals are confirmed, supervisors are required to submit a signed approval of thesis proposal to PHBS; and students should also submit an electronic copy of the proposal to the school. Students who fail to pass the proposal process should attend a pre-oral defense in order to have their thesis proposal reconsidered.

Before the end of semester one in the second academic year (for international students), students are to submit the first drafts of their graduate theses, which will be graded by their supervisors. Students who fail to pass the pre-oral defense or submit the first draft on time will have to apply for an extension of study. This extension will allow a student to prepare for the thesis defense process for longer than two years, provided they receive approval from their faculty advisor and the Administrative Office.

Students who have fulfilled all the credits before the end of the first semester in the second academic year are entitled to apply the attendance of oral defense. In general, students are not allowed to select and register courses in the second semester of the final academic year. In some cases, students who have received approvals from supervisors and vice dean of PHBS in charge of academic affairs may register courses in the first course module of the second semester. But

students can't gain credits in the second course module in the final semester. Students who fail to complete all required coursework before dissertation defense are forced to an extension.

International students shall submit their final drafts of their graduation theses in before April 15th of their second year. Graduation theses should be approved by supervisors and be reviewed by PHBS before submission. When submitted, they should be printed and bound by the Printing Center. They also must have the written approval of the faculty supervisor and the school. Supervisors are to submit their recommendation regarding students' theses by the end of early April. Thesis oral defense is to be held thereafter.

Thesis proposals of PHBS Master's Degree should include these following parts:

- (a) Current academic achievements and problems in fields related to thesis theme; Academic, economic and social influences of thesis in the future;
- (b) Fundamental research methods, theoretical framework of analysis and empirical research procedures;
- (c) Expected research progress.

For thesis oral defense procedures, please see *Thesis Oral Defense Procedures for Master's*Degree at Peking University HSBC Business School.

For thesis format, please see *Thesis Format for Master's Degree at Peking University HSBC Business School.* 

The Master's program for international students, and Hong Kong, Macao and Taiwan students is a 2-year program. For these students, they should select their faculty advisors before the end of the second semester; should complete research proposals before the end of the third semester; and shall attend oral thesis defense in the fourth semester.

# 6. General Regulations Governing Student Affairs at Peking University HSBC Business School

#### 6.1 School Activities

Graduates of HSBC Business School must attend the Opening & Graduation ceremonies, festival parties, school meetings, class meetings, and other academic activities organized by the Business School. Absence from these activities without approval is regarded as two-hour truancy each time a student is absent. Students are advised to dress formally when attending these activities.

#### 6.2 Scholarships & Loans

Scholarship recipients are expected to meet the academic and disciplinary standards for scholarships. For international students, this includes receiving a passing final grade for all courses, receiving fewer than twenty (20) disciplinary point deductions within one academic year, and abiding by the other regulations expressed in the school's code of conduct. Priority will be placed on those students who have produced academic publications, participated in research projects, or demonstrated exemplary dedication to academic excellence.

Scholarship statuses will be reevaluated after each academic year, both for students already receiving scholarships from the school and students not currently on any scholarship. The school will organize a Scholarship Committee each summer to evaluate the academic performance of each student and determine which students are most deserving of school-sponsored scholarships and to review scholarship receiving students. Scholarship receiving students that have a GPA

below 80 OR that fail one course at the end of the first year will have their scholarship status reviewed. Scholarship receiving students that have a GPA below 75 OR that fail two courses by the end of the first year will have their scholarship downgraded. Downgrades are from full scholarship to half scholarship or from half scholarship to no scholarship. GPAs will be assessed based on the first three module's course grades. Student scholarship statuses under review are subject to change based on school involvement, discipline incidences, the submitted scholarship review essay, overall student conduct, and in the case of one failed course the committee will look into the circumstances of the failed course.

All students who would like to be considered for scholarship upgrades must submit the *Returning Student Scholarship Application Form* in June. Students receiving half scholarships may apply for an upgrade to a full scholarship, and students with no scholarship may apply for an upgrade to a half scholarship. The application page will be circulated via email to students, and can be found on the school website under 'Student Resources'. Scholarship upgrades will also be reviewed based on school involvement, academic performance, discipline incidences, the submitted essay and overall student conduct.

Through the *Peking University Wen Hai Career Development Fund*, PHBS aims to solve students' financing problems and to ease the financial burden students encounter when pursuing a higher degree. The Fund was also created to help give our students an advantage in securing internship and job opportunities. The Fund will also cover the registration and transportation costs for successful applicants looking to participate in networking opportunities and formal job interviews. Full-time students officially registered at PHBS are entitled to apply for this funding. For details please see *Regulations for the Peking University Wen Hai Career Development Fund*, in the Student Affairs Office.

The Da Shi Hang Company generously donated 1 million renminbi to set up the *Student Academic Exchange Fund*. The Fund is available to PHBS students planning on participating in international exchange programs or transnational academic competitions or conferences. Application procedures can be found in the Student Affairs Office.

#### **6.4 Visa Application**

Students going abroad for personal reasons during the school year are to fill out the *Application* Form for Visiting Abroad for Students of Peking University and go through the procedures stipulated in the Graduate School of Peking University Student Handbook with co-approval of their faculty adviser and the vice dean of academic affairs.

International students are responsible for renewing their residence permit visas at the end of the first year. Students must submit the application for renewal no less than one month before the expiration of the residence permit. The renewal process consists of submitting application for renewal forms to the Shenzhen Police Station. Students' passports will be held by the Shenzhen Police Station for 3 weeks as a part of the renewal process. PHBS will assist students with the paperwork required for renewal, but students are responsible for any fees related to renewal.

If Chinese residence cards are required for a student to go abroad, students will be issued a letter of recommendation at the PHBS Administrative Office, which students must take to the Yanyuan Police Station to apply for a residence card (primarily applies to domestic students).

#### **6.5 Student Associations**

Student Associations are to be established with the co-approval of the Student Affairs Office in HSBC Business School and the Student Affairs Office of Shenzhen Graduate School.

Student Associations can either be registered with PKU Shenzhen as a whole or specifically with HSBC Business School. Associations registered with PKU Shenzhen are intended to serve the entire student body of PKU Shenzhen, and therefore should primarily receive funding from the PKU Shenzhen Student Affairs Office. Associations registered with HSBC Business School will primarily receive funding from PHBS, when appropriate. Events organized by PHBS-registered student associations should first and foremost be academic in nature in order to be considered for funding.

When organizing activities, student societies must apply for funding well in advance by submitting a detailed event proposal to the Student Affairs Office. The proposal should include the activity's objective, content, estimated number of participants, date and time, venue, sponsor, and effect on the school community.

Student Associations are responsible for maintaining order in seminars or public lectures that they arrange. If the contents of the seminars or lectures do not align with the information detailed in the event proposal, student associations and their leaders are held liable. Before organizing excursions and large-scale social activities, student associations must apply for approval from the Student Affairs Office of HSBC Business School. They shall also purchase group insurance and have each participant sign the *Security Disclaimer* form, obtained at the Student Affairs Office.

If student associations post notices, announcements, or posters on campus, please first obtain approval of the poster from the PHBS Administrative Office. The leader of a student association is directly responsible for all information posted around campus relating to that association's events. Fees incurred as a result of activities will be assumed by the student association directly.

Issues not listed in this regulation will be resolved in accordance with relevant regulations in the *Student Handbook of Peking University*.

#### **6.6 Reimbursement for Medical Services**

International students should pay Ping An Medical Insurance fee 600 RMB per year, which covers only students' inpatient and emergency fees, while does not cover the outpatient expenses.

Medical organizations shall be limited to the public hospitals established within the board of the Mainland China.

#### 6.7 Study Room

Room C326 and C328 are used as independent study centers. Altogether there are 140 seats available. Due to the limited availability of the seating, student use of the space will be determined by a random selection process at the beginning of each semester. Students who are selected from

the lottery effectively reserve their study space for the duration of the semester. If you would like

to reserve a seat, please sign up in the Administrative Office. Each seat costs RMB20/semester to

reserve, once the lottery has been conducted.

Students are responsible for keeping the discussion room and the self-study room clean and safe.

Do not leave your personal belongings in either room unattended. Students who would like to

make a complaint about the utilization of either space can do so with the student employee.

Illegal electronic equipment is not to be used in either of the rooms. Additionally, students should

avoid distracting their peers while taking advantage of these spaces. The student in charge of keys

must lock the doors after all other students have left.

Students may hold meetings or group discussions in the discussion rooms. Keys are only available

in the PHBS Administrative Office. Students shall not duplicate keys for the discussion rooms,

occupy the study room outside hours of operation, leave personal belongings in the rooms, or post

anything on the windows or doors.

6.8 Mailboxes for Faculty and Students

Mailboxes for faculty and students are available on the ground floor of the school. Students'

mailboxes are classified by class year. International students can use the Administrative Office

mailing address for packages, or write their dormitory address. International packages most often

end up in the Administrative Office, but students who are expecting a package/letter should also

check the Mailing Center below Dorm Building 4. The Administrative Office will not hold student

mail for longer than two weeks, and an administrator will send a student an email if mail is

delivered to the Administrative Office.

The School Address is as follows:

**Building C, HSBC Business School** 

Peking University, University Town

Nanshan District, Shenzhen, China, 518055

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# 7. General Regulations of Internship & Career Development Governing Students at Peking University HSBC Business School

#### 7.1 Internship

All full-time students shall not take internships in the first academic year (international students) or the first three semesters (domestic students), with the exception of students who participate in exchange programs. Participation in an unapproved internship program may result in a delay in graduation or issues in course registration. Students are entitled to participate in internships during their second year. International students who have satisfied their course credit requirements (with the exception of the thesis course) by the end of the first year, may apply to participate in internships abroad.

Students should report their internship experience to the PHBS Office of Career Development.

They are to fill in a form specifying the strengths and weaknesses of the internship experience, and whether or not they would recommend future students participate in the internship program.

#### 7.2 Advice for Career Development

- a. Academic Study:
- (1) Study hard for a reasonably good GPA and honors degree to compete for jobs or entry into the PhD program. Certain employers, particularly in China, will look at GPA when considering whether to hire applicants.
- (2) Take relevant elective courses and learn on your own to enrich the professional knowledge needed for your intended career track.
- (3) Read professional journals, newspaper, and articles in your targeted career field.
- (4) Take advantage of Vault online career library for industry and employer information.

#### b. Self-assessment:

(1) Begin the self-assessment process in your first year to better understand your career inclinations. You can use the following online assessment tools:

- MBIT, Prospects Planer, Career Key, etc
- (2) Talk to people in your interest field to obtain insight, advice and feedback on your career ambitions.
- (3) Attend all career/industry talks to gather more pertinent information.
- (4) Make an appointment with the Career Development Center for one-on-one consultation for your career planning, and take advantage of any events organized by the CDC.

#### c. Networking:

According to a survey in the Wall Street Journal, 61% of jobs offers are earned through networking. That's nearly two out of three.

- (1) Meet new friends and friends of friends.
- (2) Expand your personal network actively (including attending events organized by different associations.)
- (3) Get to know several professors or your supervisors at work really well so that you can invite them to be your referees when needed.

#### d. Activities & Internships:

- (1) Explore and participate in campus and community activities; seek ways to develop your potential.
- (2) Take up a leadership role in at least one activity; continue to sharpen your leadership, communication, and interpersonal skills, which are all important for your job hunting.
- (3) You are not allowed for internship in your first year, but you can begin to research and prepare for them related to your career choices.
- (4) A quality internship is often the make-or-break in the hiring process. Start looking for internship opportunities early on, and don't accept something if you're not genuinely excited about the work.

#### e. Resume Preparation:

- (1) Resume helps you get interview opportunities; spend more time on it and it is worth the effort.
- (2) Start to record your achievements in resume in year 1.

- (3) Attend all workshops about resume, cover letter, and thank you letter writing.
- (4) Revise and polish again and again.

#### f. Other tips:

- (1) English is always playing a significant role in your job hunting. Begin to practice your English at the very beginning.
- (2) Apply for one school email (xx@sz.pku.edu.cn)
- (3) Appreciate your own talents and abilities; always be confident.
- (4) Watch out for email announcement on activities offered by Career Development Center
- (5) Make an appointment with CDC when you have problems related to your career development by <a href="www.wujuan@szpku.edu.cn">wujuan@szpku.edu.cn</a>
- (6) Please be informed that all workshops and career talks organized by CDC are mandatory for all students. You are required to attend all of them.
- (7) CDC will post job opportunities on school BBS. Please check and apply before deadline.
- (8) You are required to help school to maintain good relationship with corporate; you can only apply for 3 interns or jobs that are posted by CDC, at one time. Once you get the offer, you are not suggested to reject the offer without a very strong reason. So please think through before you apply. We appreciate your cooperation.

#### 7.3 Interview Preparation

#### a. Aptitude Tests

Get familiar with the format of major aptitude tests as early as possible, such as SHL. These tests are not too difficult if you are well prepared for it. But whether you work well under pressure is essential for these tests. You have to be calm, work as fast as possible and don't dwell on any difficult question.

Some major types of aptitude tests:

- Verbal Reasoning (Chinese / English)
- Data Sufficiency

- Numerical Reasoning
- Interpretation of Tables and Graphs

#### b. Group Discussion

This involves a group of 5-8 candidates (from different universities, and different faculties) discussing an assigned topic or case. Many campus recruiters use group discussion as a selection method in the final round of selection

#### Formats of Group Discussion/Assessment Center

- Unstructured group discussion
- Debate a topic
- Case Study discussion to reach consensus
- Case Study discussion with designated roles
- Case Study discussion to work out a business plan or proposal

#### Skills / Attitude to be Assessed

#### 1. Planning Skill

- Encourages team to take a structured approach in objective definition and solution planning.
- Shows ability in identifying problems
- Suggests solutions: Ensures that both the individual's and the group's time is managed well.

#### 2. Communication Skill

- Expresses ideas clearly
- Speaks out and contributes willingly
- Handles conflict well either when directed at himself / herself or when conflict arises
   between other team members
- Shows tact and sensitivity towards others

- Listens to others' suggestions
- Demonstrates an understanding of how others perceive the situation

#### 3. Leadership

- Even though another participant may have taken the lead, continues to assert one's own views and may even take the lead if group begins to flounder
- Encourages others to contribute to the discussion
- Contributes to a good sense of team spirit
- Expresses opinions/views that are accepted by others

#### 4. Motivation

- Shows energy, enthusiasm and drive in facing the task
- Shows determination and commitment to achieve the best possible solution
- Stands his / her ground when he / she believes he / she is right
- Shows a positive attitude to accept challenge

#### 5. Decision-making Skill

- Eager to see decisions taken
- Sees the relevance of others contributions and promptly builds on these with a view to action
- Ensures that in the end, some sound decision is taken

#### The DOs during group discussion

- Introduces new ideas
- Expresses ideas clearly and convincingly
- Encourages others to give opinions
- Listens before contributing
- Steps in and redirects the conversation when necessary
- Be sensitive to the feelings of others

#### The DONTs during group discussion

- Dominates the discussion by talking most of the time
- Strays from the subject
- Interrupts other contributors
- Keeps silent
- Be wishy-washy

#### c. Case Interview

A case interview is the analysis of a business question. The candidate will be given a business problem and asked for the opinion. He / she has to ask the interviewer logical questions that will permit him / her to make a detailed recommendation. There is no specific answer for the case problem.

Major management consulting firms such as McKinsey, Boston Consulting Group and Monitor Group usually use several stages of case interviews to assess candidates' suitability. They look for the candidates thought process that is both analytical and creative (what consultants love to call "out-of-the-box" thinking). Students with business background would receive case questions that require a deeper understanding of business models and processes.

#### Tips & Skills for Short Case Reading and Analysis

- Read through the historical description fast. Just note:
- Key days / years
- o Milestone Actions / Achievement / Change
- Special Focus on strategy adapted under:
- o What environment
- Why

- o Results / Implications
- Financial data
- Focus on trends
- o Ratios that reveal profit trend, financial health
- o Some critical facts hidden under the surface of apparently "no problem" figures
- Marketing Strategy
- o How it was formulated?
- o Based on what analysis?
- Impact on market share
- Cost / benefit
- Competitor reaction
- Next trend prediction
- Brand revitalization
- Get familiar with basic financial terms, e.g. ROE, ROI, NPV, NAV, IRR, Valuation,
   Asset Pricing, CAPM, Financial ratios, Indicators and Statistics.
- Update on marketing strategy and development on hot industries: Insurance, finance, banking, communications, hotel, FMCGs (Specific knowledge of the industry covered by the case question is a bonus).

#### The DOs during case interview

- Point out this is a case of : (nature of case)
- e.g. marketing strategy of a sauce company, especially on brand sustainability, growth and international expansion
- o e.g. organizational restructuring and new business development.
- o e.g. revitalization of a declining brand and market share.

- The strategies and adopted/options available.
- A quick summary of your analysis of the strategies and options.
- Your view on possible actions, alternatives and constraints.
- When you analyze stick more to the facts provided by the case.
- Can also use market information you know outside the case. But have to make a fine balance of not too much.
- Not repeat too much of the facts and history a trap for most inexperienced case readers.

#### **Additional Resources:**

#### Websites:

- Tips to prepare for case interviews by BCG
   <a href="http://www.bcg.com/careers/interview\_prep.html">http://www.bcg.com/careers/interview\_prep.html</a>
- Tips on how to ace a case interview by Bain & Co
   <a href="http://www.bain.com/bainweb/Join\_Bain/case\_interviews.asp">http://www.bain.com/bainweb/Join\_Bain/case\_interviews.asp</a>
- Online cases by BCG
   <a href="http://www.mckinsey.com/aboutus/careers/applyingtomckinsey/interviewing/casestudies/i">http://www.mckinsey.com/aboutus/careers/applyingtomckinsey/interviewing/casestudies/i</a>
   <a href="mailto:ndex.asp">ndex.asp</a>
   </a>

# 8. Regulations of Disciplinary Sanctions for Students at Peking University HSBC Business School

Students must comply with the basic disciplinary requirements. Cheating in exams, falsifying documents or certificates, plagiarizing in assignments, etc. are strictly forbidden.

Students are required to be punctual and present in the class. Events or gatherings that are marked as 'mandatory' require all students to attend, i.e. PKU opening ceremony, PHBS opening ceremony, PHBS orientation, and PHBS graduation ceremony. You will need to submit a leave of absence form to the administrative office if you cannot attend the required events or be present at class.

Students' scholarship will be reviewed at the end of the first academic year based on academic performance, overall behavior (including your attendance in class and events that are mentioned above). Your scholarship will be considered to be downgraded if you fail one required course or if your GPA is under 80. You will be downgraded if you fail two required courses or GPA under 75.

# **Appendix I: Contact Information**

Department	Teacher	Office	Phone	Email	
	Honghai Lin	H103	26035375	linhh@szpku.edu.cn	Gradutation
	Yuhua Pang	H103	26035533	pangyh@szpku.edu.cn	Curriculum; exam
PKUSZ Teaching Affairs	Mingju Zhou	H103	26033620	zhoumingju@szpku.edu.cn	Course selection; Grades
Office	Yunqiu Liu	H103	26032479	liuyq@szpku.edu.cn	International exchange
	Jian Chen	H103	26032263	chenj@szpku.edu.cn	Enrollment; course selection
DWW.CZ	Rui Wang	H103	26035259	ruiwang@szpku.edu.cn	Student's loan
PKUSZ Students' Affairs	Xinglong Liu	H103	26033083	liuxl@szpku.edu.cn	Placement
Office	Bifang Luo	H102	26032104	luobf@szpku.edu.cn	Youth League Committee
PKUSZ IT Office	Hongchen Zhang	A103	26035563	zhanghc@szpku.edu.cn	IT support
Campus Service Centre	Min Peng	Building K	26035317	wujh@szpku.edu.cn	Dormitory management
	Susan Zhang	C113	2603-2112	zhangfs@phbs.pku.edu.cn	Curriculum
Business School	Mandy Chen	C113	2603-3014	chenhong@phbs.pku.edu.cn	Course Management
Full-Time	Lorreta Liu	C113	2661-0562	liuyan@phbs.pku.edu.cn	CUHK Joint Program
Ms & PhD office	Joanne Yan	C113	2603-2270	yanlj@phbs.pku.edu.cn	NUS Joint Program/ Research
	Eva Wang	C113	2603-3014	wangyi@phbs.pku.edu.cn	Admission

	Daisy Wu	C113	2603-2551	wuwh@phbs.pku.edu.cn	Accreditation
	Jean Wang	C113	2603-3097	wangjing01@phbs.pku.edu.cn	International Affairs
	Nicole Chastagner	C113	2603-2270	nchastagner@phbs.pku.edu.cn	Exchange Program/ Accreditation
	Amy Xing	C116	2603-2160	xingyan@phbs.pku.edu.cn	HR
	Lynn Chen	C116	2603-3362	chenxl@phbs.pku.edu.cn	Finance
	Joy Xie	C116	2603-3094	xieyuting@phbs.pku.edu.cn	General Support
	Annie Sun	C113	2603-3087	sunji@phbs.pku.edu.cn	Placement/ Students' Affairs
	Spring Xiang	C117	2603-2267	xiangdc@phbs.pku.edu.cn	Students' Affairs
	Vivi Sun	C117	2603-2302	sunzh@phbs.pku.edu.cn	Students' Affairs
	Qinzhen Liu	C113	2603-3095	liuqz@phbs.pku.edu.cn	
	Sunny Huang	C113	2603-3095	huangsj@phbs.pku.edu.cn	
	Wei Cheng	C207		chengwei@phbs.pku.edu.cn	Financial Lab C207
	Vincent Chang	C206	2603-5400	vhchang@phbs.pku.edu.cn	
	Wei Wei	C205	2603-2672	weiwei@phbs.pku.edu.cn	
	Ying Kong	C309	2603-3085	kongying@phbs.pku.edu.cn	
	Haifeng Huang	C310	2603-2656	huanghf@phbs.pku.edu.cn	
	Gang Fan	C218	2603-3175	fangang@phbs.pku.edu.cn	
Faculty	Philip Huang	C219	2603-3059	phhuang@phbs.pku.edu.cn	
	Christopher Balding	C406	2603-2021	cbalding@phbs.pku.edu.cn	
	Tianyu Dai	C409	2603-3292	daity@phbs.pku.edu.cn	
	Ting Ren	C412	2603-2003	renting@phbs.pku.edu.cn	
	Wei Cen	C404	2603-2157	weicen@phbs.pku.edu.cn	
	Richard Chan	C313	2661-7001	csrchan@phbs.pku.edu.cn	

	Kevin Chastagner	C322	8613-0634	kchastagner@phbs.pku.edu.cn	
•	Qian Chen	C323	2153-4063	qianchen@phbs.pku.edu.cn	
	Yan Feng	C407	2603-2985	fengyan@phbs.pku.edu.cn	
	Terrill Frantz	C312	2603-5315	terrill@phbs.pku.edu.cn	
	Marc Idelson	C208	2603-3064	idelson@phbs.pku.edu.cn	
	Lan Ju	C401	2603-2653	julan@phbs.pku.edu.cn	
	Daniel Kim	C324	2603-5295	kimds@phbs.pku.edu.cn	
	Frank Koger	C308	2603-2158	fritzkoger@phbs.pku.edu.cn	
	Sai Lan	C209	2603-3351	sailan@phbs.pku.edu.cn	
	Jun Lu	C317	2661-3120	junlu@phbs.pku.edu.cn	
	Austin Lee Nichols	C413	2603-2004	austinleenichols@phbs.pku.edu.cn	
	David Ong	C402	2603-2655	davidong@phbs.pku.edu.cn	
	Heungju Park	C319	2603-3191	hpark@phbs.pku.edu.cn	
	Young Joon Park	C403	2603-2019	yjpark@phbs.pku.edu.cn	
•	Sung Bin Sohn	C324	2603-5324	sungbin.sohn@phbs.pku.edu.cn	
	Jack Sun	C307	2603-3007	sunlei@phbs.pku.edu.cn	
	Naqiong Tong	C405	2603-2535	nqtong@phbs.pku.edu.cn	
	Zhiyong Tu	C410	2603-2017	zytu@phbs.pku.edu.cn	
	Chunyang Wang	C320	2603-3195	cywang@phbs.pku.edu.cn	
	Wei Xu	C321	2603-3176	weixu@phbs.pku.edu.cn	
	Juan Yang	C411	2603-2257	yangjuan@phbs.pku.edu.cn	
	Yeujun Yoon	C318	2603-3050	yoony@phbs.pku.edu.cn	
	Yu Zhou	C314	2661-9829	yuzhou@phbs.pku.edu.cn	
	Ken Hung	C316	2603-2933	hung@phbs.pku.edu.cn	
	Insook Lee	C323	2603-2005	isle@phbs.pku.edu.cn	

Daeyong Lee	C207	2603-2795	daeyong@phbs.pku.edu.cn
Jiao Shi	C325	2603-2189	jiaoshi@phbs.pku.edu.cn
Deming Zhou	C327	2603-2654	dmzhou@phbs.pku.edu.cn
Kwangwon Ahn	C207	2603-2024	k.ahn@phbs.pku.edu.cn
Hursit Selcuk Celil	C322	2603-3096	hscelil@phbs.pku.edu.cn
Liangyi Ouyang	C327	2603-2657	ouyang@phbs.pku.edu.cn
Tina Wang	C325	2603-2740	tinawang@phb.pku.edu.cn

# **Appendix II: PHBS Course Offerings for 2013-2014 (Tentative)**

# First Module, Fall Semester (Sep 2nd to Nov. 8th)

Course	Instructor	Credit	Note		Classroom
Mathematics (Aug 26th to Aug 30th ) 18 hours session E	Insook Lee	N/A	13GR	6:30-10pm	C102
Mathematics (Aug 26th to Aug 30th )18 hours session M	Jiao Shi	N/A	13GR	6:30-10pm	C105
Mathematics (Aug 26th to Aug 30th)18 hours session F1	Daniel Kim	N/A	13GR	6:30-10pm	D Theatre
Mathematics (Aug 26th to Aug 30th)18 hours session F2	Daeyong Lee	N/A	13GR	6:30-10pm	C203
General English (3-4 sessions)	Xiangdong Wen	4	13GR	TBD	
Advanced Microeconomics I (session E)	Young Joon Park	3	13ER	Mon & Thur 10:30-12:20	C102
Advanced Econometrics I (session E)	Yu Zhou	3	13ER	Mon & Thur 8:30-10:20	C105
Financial Economics (session E)	Vincent Chang	3	13ER	Tue & Fri 9:30-12:20	C102
Financial Accounting	Naqiong Tong	3	13MR	Mon & Thur 10:30-12:20	C105
Operations Management	Deming Zhou	3	13MR	Mon & Thur 1:30-3:20pm	C105
Organizational Behavior	Austin Nichols	3	13MR	Tue & Fri 1:30-3:20pm	C102
Microeconomics	Yan Feng	3	13F1R	Mon & Thur 10:30-12:20	D Theatre
Advanced Econometrics I (session F1)	Sungbin Sohn	3	13F1R	Mon & Thur 3:30-5:20pm	D Theatre
Financial Markets	Wei Xu	3	13F1R	Tue & Fri 1:30-3:20pm	C105

Young Joon Park	3	13F2R	Mon & Thur 3:30-5:20pm	C105
Lan Ju	3	13F2R	Tue & Fri 3:30-5:20pm	C105
Daniel Kim	3	13F3R	Mon & Thur 1:30-3:20pm	D Theatre
Kwangwon Ahn	3	EE&ME&FE	Tue & Fri 8:30-10:20am	C105
Timothy Lu	3	EE&ME&FE	Tue & Fri 1:30-3:20pm	D Theatre
Lan Ju	3	EE&FE	Tue & Fri 10:30-12:20	C105
Jiaxiang Zhu	3	EE&FE	Wed & Thur 3:30-6:20pm	C123
Daeyong Lee	3	EE&FE	Mon & Thur 8:30-10:20	C102
David Ong	3	EE&FE	Mon & Thur 1:30-3:20pm	C104
Terrill Frantz	3	ME	Mon & Thur 10:30-12:20	C104
Terrill Frantz	3	ME	Mon & Thur 1:30-3:20pm	C124
Marc Idelson	3	ME	Tue & Fri 8:30-10:20pm	C104
	Lan Ju Daniel Kim Kwangwon Ahn Timothy Lu Lan Ju Jiaxiang Zhu Daeyong Lee David Ong Terrill Frantz Terrill Frantz	Lan Ju 3 Daniel Kim 3 Kwangwon Ahn 3 Timothy Lu 3 Lan Ju 3 Jiaxiang Zhu 3 Daeyong Lee 3 David Ong 3 Terrill Frantz 3 Terrill Frantz 3	Lan Ju 3 13F2R  Daniel Kim 3 13F3R  Kwangwon Ahn 3 EE&ME&FE  Timothy Lu 3 EE&ME&FE  Lan Ju 3 EE&FE  Jiaxiang Zhu 3 EE&FE  Daeyong Lee 3 EE&FE  David Ong 3 EE&FE  Terrill Frantz 3 ME  Terrill Frantz 3 ME	Lan Ju         3         13F2R         Tue & Fri 3:30-5:20pm           Daniel Kim         3         13F3R         Mon & Thur 1:30-3:20pm           Kwangwon Ahn         3         EE&ME&FE         Tue & Fri 8:30-10:20am           Timothy Lu         3         EE&ME&FE         Tue & Fri 1:30-3:20pm           Lan Ju         3         EE&FE         Wed & Thur 3:30-6:20pm           Jiaxiang Zhu         3         EE&FE         Wed & Thur 3:30-6:20pm           Daeyong Lee         3         EE&FE         Mon & Thur 8:30-10:20           David Ong         3         EE&FE         Mon & Thur 1:30-3:20pm           Terrill Frantz         3         ME         Mon & Thur 1:30-3:20pm           Me         Mon & Thur 1:30-3:20pm         Mon & Thur 1:30-3:20pm

The Art of Leadership	Marc Idelson	3	ME	Tue & Fri 10:30-12:20 from the 3 <sup>rd</sup> week	C104
Venture Design (1st and 2nd module) "Counted as the second module course"	Marc Idelson	3	ME	Tue & Fri 3:30-5:20pm for the 3 <sup>rd</sup> week	C104
Research Topics in Corporate Finance	Selcuk Celil	3	FE	Tue & Fri 10:30-12:20	D Theatre
Investments	Erik Benrud	3	FE	Mon & Thur 10:30-12:20	C124
Hedge Funds	Erik Benrud	3	FE	Mon & Thur 1:30-3:20pm	C102
Spreadsheet Modeling in Finance (HKU)	Paul Hsu	3	12ER & 12MR(HKU)	Sept 8, 15; Oct 13, 20, 27 & Nov 3 Sun2-5pm&6:15-9:15pm	C105
Fixed Income Securities & Interest  Rate Modeling (HKU)	Huiyan Qiu	3	12ER & 12MR(HKU)	Sep 6-7、13-14、27-28、Oct 11-12、18-19 25-26 Fri 6:15-9:15pm & Sat 2-5pm	C105

Review of Quantitative Methods(CUHK)	Duozhe Li	3	13F3R (CUHK)	Sat 9:30am-12:30pm&2-5pm	C102
Monetary Economics (CUHK)	Kang Shi	3	12FR (CUHK)	Sat 9:30am-12:30pm&2-5pm	C201
Business English(1st and 2nd module)	TBD	3	13MBA	Sat 6:30-8:20pm	
Managerial Economics (session MBA1)	Yan Feng	3	13MBA1R	Mon&Thur 3:30-5:20pm	
Managerial Economics (session MBA2)	Yan Feng	3	13MBA2R	Sat 1:30-5:20pm	
Financial Accounting (session MBA1)	Naqiong Tong	3	13MBA1R	Mon&Thur 1:30-3:20pm	
Financial Accounting (session MBA2)	Naqiong Tong	3	13MBA2R	Sat 8:30-12:20am	
Data, Models, and Decisions (session MBA1)	Qian Chen	3	13MBA1R	Tue&Fri 8:30-10:20am	
Data, Models, and Decisions (session MBA2)	Qian Chen	3	13MBA2R	Sun 8:30-12:20am	
Investments	OuyangLiangyi	3	MBAE	Tue&Wed 7:00-8:50pm	
Financial Institutions and Risk Management	Timothy Lu	3	MBAE	Sun 1:30-5:20pm	

Mid-Autumn Day: Sep 19th. National Day Holiday: Sep 28th to Oct 6th. Final Exam will be arranged on Nov 11&12.

ER: Economics Required FR: Finance Required MR: Management Required GR: General Required EE: Economics Elective

FE: Finance Elective ME: Management Elective GE: General Elective F1: Quantitative Finance F2: Finance F3: CUHK dual master

# Second Module, Fall Semester (Nov 14th to Jan 15th )

Course	Instructor	Credit	Note	
General English (3-4 sessions)	Ding Jiahui & A.J	3	13GR	
Theory and Practice of Socialist Economics:  Economics of Development	Gang Fan	3	12GR	Thur &Fri 7:00-9:00pm
Advanced Macroeconomics	Chunyang Wang	3	13ER	Mon&Thur 10:30-12:20
Applied Econometrics (session E)	Zhiyong Tu	3	13ER	Tue&Fri 10:30-12:20
Business Ethics (session E)	<b>Christopher Balding</b>	1.5	13ER	Tue&Fri 8:30-10:20am
Marketing Management	Yeujun Yoon	3	13MR	Tue&Fri 3:30-5:20pm
Applied Econometrics (session M)	Yeujun Yoon	3	13MR	Mon&Thur 10:30-12:20
Business Ethics (session M)	<b>Christopher Balding</b>	1.5	13MR	Tue&Fri 10:30-12:20
Financial Economics (session F1)	Vincent Chang	3	13F1R	Tue&Fri 10:30-12:20
Applied Econometrics (session F1)	Selcuk Celil	3	13F1R	Mon&Thur 8:30-10:20am
Business Ethics (session F1)	Thomas Weidenbach	1.5	13F1R	Tue&Fri 3:30-5:20pm
Financial Economics (session F2)	Jack Sun	3	13F2R	Mon&Thur 10:30-12:20
Applied Econometrics (session F2)	Jiao Shi	3	13F2R	Mon&Thur 1:30-3:20pm
Business Ethics (session F2)	Thomas Weidenbach	1.5	13F2R	Tue&Fri 10:30-12:20
Financial Economics (session F3)	Jack Sun	3	13F3R	Mon&Thur 3:30-5:20pm
Applied Econometrics (session F3)	Selcuk Celil	3	13F3R	Mon&Thur 1:30-3:20pm
Business Ethics (session F3)	<b>Christopher Balding</b>	1.5	13F3R	Tue&Fri 3:30-5:20pm
Corporate Finance	Heungju Park	3	EE&ME&FE	Mon&Thur 3:30-5:20pm
Market Microstructure	Daniel Kim	3	EE&FE	Mon&Thur 3:30-5:20pm

Financial Accounting	Tina Wang	3	EE&FE	Mon&Thur 1:30-3:20am
Cross Cultural Management (1st and 2nd module)  Counted as the first module course	Marc Idelson	3	ME	Tue&Fri 3:30-5:20pm
Venture Design (1st and 2nd module) "Counted as the second module course"	Marc Idelson	3	ME	Tue&Fri 1:30-3:20pm
Managing Alternative Investment Funds	Erik Benrud	3	FE	Mon&Thur 10:30-12:20
Applied Stochastic Process	Kwangwon Ahn	3	FE	Tue&Fri 3:30-5:20pm
Financial Engineering (HKU)	Matthias Buehlmaier	3	12ER & 12MR(HKU)	Fri 9-12&2-5pm
Risk Management (HKU)	Tao Lin	3	12ER & 12MR(HKU)	Sun 9:30-12:30&2-5pm
Derivatives and Fixed Income (NUS)	TBD	3	13ER & 13MR (NUS)	TBD
TBD (CUHK)	TBD	3	12FR (CUHK)	TBD
Microeconomic Theory (CUHK)	Kwong Kai Sun Sunny	3	13F3R (CUHK)	TBD
Business English(1st and 2nd module)	TBD	3	13MBA	Sat 6:30-8:20pm
Theory and Practice of Socialist Economics	Gang Fan	3	13MBAGR	Sat 8:30-12:20am&1:30 5:20pm(first 4 weeks)
Organizational Behavior (session MBA1)	Ting Ren	3	13MBA1R	Mon&Thur 10:30-12:20am
Organizational Behavior (session MBA2)	Ting Ren	3	13MBA2R	Sat 8:30-12:20am&1:30-5:20pm (following 4 weeks)
Corporate Finance (session MBA1)	Wei Cen	3	13MBA1R	Mon&Thur 1:30-3:20pm
Corporate Finance (session MBA2)	Wei Cen	3	13MBA2R	Sun 1:30-5:20pm
Macroeconomics	Chunyang Wang	3	MBAE	Sun 8:30-12:20am
International Finance	Ken Hung	3	MBAE	Sun 8:30-12:20am

#### New Year's Day: Dec 30th to Jan 1st. Final Exam will be arranged on Jan 16th-17th.

ER: Economics Required FR: Finance Required MR: Management Required GR: General Required EE: Economics Elective

FE: Finance Elective ME: Management Elective GE: General Elective F1: Quantitative Finance F2: Finance F3: CUHK dual master

# Third Module, Spring Semester (Feb 17th to April 18th )

Course	<u>Instructor</u>	Credit	<u>Note</u>	classroom
Research Methodology (session E)	<b>Christopher Balding</b>	1.5	13GR	
Strategic Management (session 1)	Kevin Chastagner	3	13MR	
Strategic Management (session 2)	Kevin Chastagner	3	13MR	
Research Methodology (session M)	<b>Christopher Balding</b>	1.5	13GR	
Financial Markets	Wei Xu	3	13F1R	
Asset Valuation Theory (session F1)	Fritz Koger	3	13F1R & 13F3R	
Research Methodology (session F1)	Heungju Park	1.5	13GR	
Corporate Finance (session F2)	Wei Cen	3	13F2R	
Asset Valuation Theory (session F2)	Fritz Koger	3	13F2R & 13F3R	
Research Methodology (session F2)	Heungju Park	1.5	13GR	
Research Methodology (session F3)	Heungju Park	1.5	13GR	
Advanced Macroeconomics II	Chunyang Wang	3	EE	
International Trade	<b>Christopher Balding</b>	3	EE	
Political Institutions and Economic Development	Insook Lee	3	EE	
Game Theory	Young Joon Park	3	EE&ME&FE	
Taxation and Business Strategies	Daeyong Lee	3	EE&ME&FE	
Fixed Income Securities	Heungju Park	3	EE&FE	
Advanced Financial Economics	Sungbin Sohn	3	EE&FE	
Strategic Human Resource Management	TBD	3	ME	
Strategic Management Research	Sai Lan	3	ME	
International Management	Kevin Chastagner	3	ME	
Project Management	TBD	3	ME	

Management in Investment Banking	Terrill Frantz	3	ME	
Managing China Cross-Border Development	Terrill Frantz	3	ME	
TBD	TBD	3	ME	
Investments	Wei Xu	3	FE	
Financial Statement Analysis	Naqiong Tong	3	FE	
Empirical Finance	Sung Bin Sohn	3	FE	
Financial Markets and Investments in China	Zhiyong Tu	3	FE	
Independent Project (for credit transferring only)	Wen Hai	3	GE	
M. IA COLOMB	X' . 71	_	12ER & 12MR	
Mergers and Acquisitions (HKU)	Xianming Zhou	3	(HKU)	
TBD (CUHK)	TBD	3	12FR (CUHK)	
Macroeconomic Theory (CUHK)	Yip Chong Kee	3	13F3R (CUHK)	
Human Resource Management (session MBA1)	Ting Ren	3	13MBA1R	
Human Resource Management (session MBA2)	TBD	3	13MBA2R	
Marketing Management (session MBA1)	Jane Wu	3	13MBA1R	
Marketing Management (session MBA2)	Jane Wu	3	13MBA2R	
Operations Management (session MBA1)	Deming Zhou	3	13MBA1R	
Operations Management (session MBA2)	Deming Zhou	3	13MBA2R	
Business Model	Wei Wei	3	MBAE	
Financial Markets and Institutes	Zhiyong Tu	3	MBAE	

## Final Exam will be arranged on April 21st -22nd .

ER: Economics Required FR: Finance Required MR: Management Required GR: General Required EE: Economics Elective

FE: Finance Elective ME: Management Elective GE: General Elective F1: Quantitative Finance F2: Finance F3: CUHK dual master

# Fourth Module, Spring Semester (April 24th to June 25th)

<u>Course</u>	Instructor	Credit	<u>Note</u>	<u>classroom</u>
Graduate Thesis Seminars (session E)	Young Joon Park	0	11GR	
Graduate Thesis Seminars (session M)	TBD	0	11GR	
<b>Graduate Thesis Seminars (session F1)</b>	TBD	0	11GR	
<b>Graduate Thesis Seminars (session F2)</b>	TBD	0	11GR	
<b>Economics of Public Issues</b>	Insook Lee	3	EE	
<b>Experimental Economics</b>	David Ong	3	EE&ME	
Industrial Organization	David Ong	3	EE&ME	
International Finance	Jiao Shi	3	EE&ME&FE	
Advanced Econometrics II	Qian Chen	3	EE&FE	
Real Estate Finance and Economics	Yu Zhou	3	EE&FE	
Marketing Research	Yeujun Yoon	3	ME	
Brand Management	George Wang	3	ME	
The Science of Leadership	Austin Lee Nichols	3	ME	
SME's Finance Research	George Wang	3	ME&FE	
Information Economics	Jinlan Ni	3		
Financial Statement Analysis	Tina Wang	3	FE	
Financial Risk Management	Timothy Lu	3	FE	
Numerical Methods and Analysis	Kwangwon Ahn	3	FE	
Derivative Pricing	Lei Sun	3	FE	
Financial Modeling	Fritz Koger	3	FE	
Government and Local Economy (in Chinese)	Jie Tang	2	GE (任选课)	
Credits Risk(HKU)	Dragon Tang	3	12ER&12MR(HK	

			U)
TBD (NUS site)	TBD	3	13ER & 13MR
TBD (NUS site)	TBD	3	13ER & 13MR
TBD (CUHK)	TBD	3	12 FR (CUHK)
Econometric Theory & Applications (CUHK)	TBD	3	13F3R (CUHK)
Leadership and Business Ethics (session MBA1)	Haifeng Huang	3	13MBA1R
Leadership and Business Ethics (session MBA2)	Haifeng Huang	3	13MBA2R
Strategic Management (session MBA1)	Sai Lan	3	13MBA1R
Strategic Management (session MBA2)	Sai Lan	3	13MBA2R
Real Estate Finance and Economics	Yu Zhou	3	MBAE
Investments	Wei Xu	3	MBAE
Management Information Systems	Tianyu Dai	3	MBAE
Risk Management and Insurance	Ju Lan	3	MBAE

## New Year's Day: Dec 30th to Jan 1st. Final Exam will be arranged on June 26th-27th.

ER: Economics Required FR: Finance Required MR: Management Required GR: General Required EE: Economics Elective

FE: Finance Elective ME: Management Elective GE: General Elective F1: Quantitative Finance F2: Finance F3: CUHK dual master

Course registration website ss.pkusz.edu.cn